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PROVISIONAL PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James G. Balding
Applicant No.: TBA
Filed: 16 April 2003
Title: "ADAPTER FOR INSTALLATION OF TOILET FLUSHING APPARATUS"

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR
§1.27 (a)(1)) - INDEPENDENT INVENTOR

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.27 (a)(1)) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the utility patent application specification filed herewith with title as listed above.
- ☐ the design patent application specification filed herewith with title as listed above.
- ☐ the plant patent application specification filed herewith with title as listed above.
- ☒ the provisional application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR §1.27 (a)(1) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.27(a)(2) or a nonprofit organization under 37 CFR §1.27(a)(3).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract to assign, grant, convey or license, any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
- ☒ Each such person, concern or organization is listed below:

International Patent Consultants, LLC
80 East Passaic Avenue
Nutley, NJ 07110

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

James G. Balding

NAME OF INVENTOR

United States

Citizenship

11925 Koenigstein Rd.

Address

Santa Paula

City

California

State

Signature of inventor

Date

8-22-03

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David B. Waller

Patent Agent No.: 43,978

Telephone: (858) 457-2014

Facsimile: (858) 457-2308

Address: 5677 Oberlin Drive, Suite 214, San Diego, CA 92121

JURAT WITH AFFIANT STATEMENT

State of CALIFORNIA
County of VENTURA } ss.

- ☒ See Attached Document (Notary to cross out lines 1-8 below)
☐ See Statement Below (Lines 1-7 to be completed only by document signer[s], *not* Notary)

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Signature of Document Signer No. 1

Signature of Document Signer No. 2 (if any)

Subscribed and sworn to (or affirmed) before

me this 22 day of April,
Date Month

2003, by
Year

(1) JAMES G. Balding
Name of Signer(s)

(2) _____
Name of Signer(s)



Place Notary Seal Above

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Further Description of Any Attached Document

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Document Date: 4-22-03 Number of Pages: 3

Signer(s) Other Than Named Above: None

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|----------------------------------|----------------------------------|
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PROVISIONAL PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James G. Balding
Applicant No.: TBA
Filed: 16 April 2003
Title: "ADAPTER FOR INSTALLATION OF TOILET FLUSHING APPARATUS"

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- ☐ the patent identified above.

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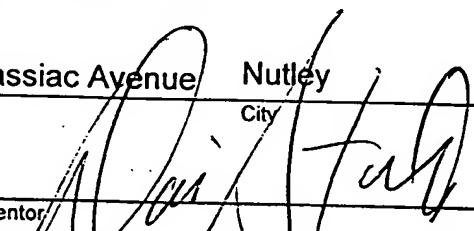
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| | | |
|--|---------------|----------------------|
| <u>David Stabile</u> | | <u>United States</u> |
| Name of Small Business Concern Representative | | Citizenship |
| <u>80 East Passiac Avenue</u> | <u>Nutley</u> | <u>New Jersey</u> |
| Address | City | State |
| <u></u> | | <u>4/21/03</u> |
| Signature of inventor | | Date |

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David B. Waller
Patent Agent No.: 43,978
Telephone: (858) 457-2014
Facsimile: (858) 457-2308
Address: 5677 Oberlin Drive, Suite 214, San Diego, CA 92121

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James G. Balding
Serial No.: TBA
Filed: 16 April 2003
For: "ADAPTER FOR INSTALLATION OF TOILET FLUSHING APPARATUS"

DECLARATION FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention listed above, the specification of which was filed on 16 April 2003 as United States Application Number TBA.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclosed information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application(s) | | | Priority Not Claimed |
|------------------------------|-----------|------------------------|--------------------------|
| (Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

| | |
|----------------------|---------------|
| (Application Number) | (Filing Date) |
| (Application Number) | (Filing Date) |

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or § 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, we acknowledge the duty to disclose information which is material to patentability as defined in 37

CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

| | | |
|----------------------|---------------|---------------------------------------|
| (Application Number) | (Filing Date) | (Status-patented, pending, abandoned) |
| (Application Number) | (Filing Date) | (Status-patented, pending, abandoned) |

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David B. Waller, Patent Agent No.: 43,978

Address all correspondence to: David B. Waller by Phone: (858) 457-2014
by Facsimile: (858) 457-2308
by E-Mail: dwaller@cnmnetwork.com

Address all correspondence to: David B. Waller & Associates
5677 Oberlin Drived, Suite 214
San Diego, CA 92121

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, or any patent issued thereon.

Full name of sole or first inventor (given name, family name): James G. Balding
Inventor's signature James G. Balding Date: 4-22-03
Post Office Address: 1925 Koenigstein Road Citizenship: United States
Residence City: Santa Paula Residence State: California Residence Country: United States

☐ Additional inventors are being named on separately numbered sheets attached hereto.

JURAT WITH AFFIANT STATEMENT

State of CALIFORNIA
County of VENTURA } ss.

- ☒ See Attached Document (Notary to cross out lines 1-8 below)
☐ See Statement Below (Lines 1-7 to be completed only by document signer[s], not Notary)

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Signature of Document Signer No. 1

Signature of Document Signer No. 2 (if any)

Subscribed and sworn to (or affirmed) before

me this 22 day of April

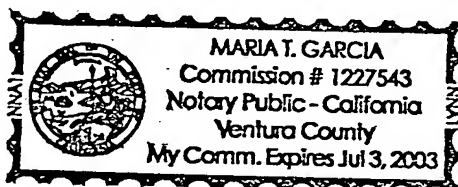
Date Month

2003, by
Year

(1) JAMES G. Balding
Name of Signer(s)

(2) _____
Name of Signer(s)

Maria T. Garcia
Signature of Notary Public



Place Notary Seal Above

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Further Description of Any Attached Document

Title or Type of Document: DECLARATION FOR PATENT

Document Date: 4-22-03 Number of Pages: 3

Signer(s) Other Than Named Above: None

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